

**eRoom Securities, LLC - SEC Rule 606 Report Disclosure**

**1st Quarter 2018**

<b>NYSE Listed Securities</b>				
	<b>Non-Directed Orders</b>	<b>Market Orders</b>	<b>Limit Orders</b>	<b>Other Orders</b>
Total Orders	0	0	0	0

<b>MASDAQ Listed Securities</b>				
	<b>Non-Directed Orders</b>	<b>Market Orders</b>	<b>Limit Orders</b>	<b>Other Orders</b>
Total Orders	0	0	0	0

<b>Securities Listed on NYSE MKT/Regional Exchanges</b>				
	<b>Non-Directed Orders</b>	<b>Market Orders</b>	<b>Limit Orders</b>	<b>Other Orders</b>
Total Orders	0	0	0	0

<b>Exchange Listed Options</b>				
<b>Orders Routed to:</b>	<b>Non-Directed Orders</b>	<b>Market Orders</b>	<b>Limit Orders</b>	<b>Other Orders</b>
Susquehanna Int Grp	18.37%	0.00%	18.36%	0.00%
Apex Clearing Corp	81.63%	0.03%	81.61%	0.00%
Total Orders	5.65%	0.03%	99.97%	0.00%

[Material Aspects of Relationships with Route Venues](#)

eRoom Securities LLC (“eRoom”) routes orders in equities and exchange listed options to various market participants including exchanges, executing brokers, and market makers. eRoom gives its clients the ability to direct orders to the market participant of their choice or to utilize eRoom’s smart order router (“SOR”) which makes the routing decision for the client with the objectives of obtaining best execution, protecting client anonymity, and maintaining routing redundancy. Orders sent to eRoom’s SOR are considered “non-directed” while all other orders are considered “directed”. In the first quarter of 2018, eRoom routed non-directed orders in exchange listed options to Apex Clearing and Susquehanna International Group. On some orders eRoom pays a routing or exchange fee while on other orders it receives compensation for directing order flow. This compensation constitutes payment for order flow and the amount received varies based on the specifics of the option contract traded. eRoom, upon written request, will provide information related to client orders that were routed for execution in the past six months. This information will include the market participant to which the order was routed, whether the order was directed or non-directed, and the time of the transaction, if any, that resulted from such order.