

**Report for DASH Prime, LLC SEC Rule 606 Report Disclosure
2nd Quarter 2019**

| NYSE Listed Securities | | | | |
|-------------------------------|----------------------------|----------------------|---------------------|---------------------|
| | Non-Directed Orders | Market Orders | Limit Orders | Other Orders |
| Total Orders | 0 | 0 | 0 | 0 |

| NASDAQ Listed Securities | | | | |
|---------------------------------|----------------------------|----------------------|---------------------|---------------------|
| | Non-Directed Orders | Market Orders | Limit Orders | Other Orders |
| Total Orders | 0 | 0 | 0 | 0 |

| Securities Listed on NYSE MKT/Regional Exchanges | | | | |
|---|----------------------------|----------------------|---------------------|---------------------|
| | Non-Directed Orders | Market Orders | Limit Orders | Other Orders |
| Total Orders | 0 | 0 | 0 | 0 |

| Exchange Listed Options | | | | |
|--------------------------------|----------------------------|----------------------|---------------------|---------------------|
| | Non-Directed Orders | Market Orders | Limit Orders | Other Orders |
| Total Orders | 0 | 0 | 0 | 0 |

In the second quarter of 2019, DASH Prime did not handle any non-directed orders subject to 606 reporting requirements. Customers who directed DASH Prime to route their orders to other executing brokers may access those brokers' non-directed routing disclosures in their respective 606 reports. DASH Prime provides links to these reports on its website (eromsecurities.com)

[Material Aspects of Relationships with Route Venues](#)

DASH Prime, LLC gives its clients the ability to route orders in equities and exchange listed options to various venues including exchanges, executing brokers, and market makers. Clients are required to select the venue to which they want an order routed at the time that the order is placed. DASH Prime does not make routing decisions on behalf of its clients, with the exception of some institutional orders which are received on a not-held basis. As a result, these orders are not subject to the non-directed order disclosure requirements of Rule 606.

When routing orders electronically, clients are presented with a list of route acronyms which represent specific venues to which the client is directing the order. For example, clients may select the acronym "NSDQ" which will result in the order being routed to the Nasdaq Stock Market. DASH Prime provides its clients with a detailed glossary which identifies each execution venue associated with the route acronyms displayed on various electronic execution management systems utilized to submit orders. The glossary can be found on DASH Prime's ePorts reporting portal (i.e. customer website, post login) in the Notifications section or simply by accessing this URL: <https://reports.eroomsecurities.com/clients/alerts.php>

Upon written request, DASH Prime will provide information related to client orders that were routed for execution in the past six months. This information will include the market participant to which the order was routed, whether the order was directed or nondirected, and the time of the transaction, if any, that resulted from such order.